

91.304 Foundations of (Theoretical) Computer Science

Chapter 1 Lecture Notes (Section 1.1: DFA's)

David Martin
dm@cs.uml.edu

With some modifications by Prof. Karen Daniels, Fall 2012



This work is licensed under the Creative Commons Attribution-ShareAlike License. To view a copy of this license, visit <http://creativecommons.org/licenses/by-sa/2.0/> or send a letter to Creative Commons, 559 Nathan Abbott Way, Stanford, California 94305, USA.

Chapter 1: Regular Languages

- Simple model of computation
- Input a string, and either accept or reject it
 - Models a very simple type of function, a predicate on strings:
$$f : \Sigma^* \rightarrow \{0, 1\}$$
 - See example of a **state-transition diagram**

Syntax of DFA

- A **deterministic finite automaton (DFA)** is a 5-tuple $(Q, \Sigma, \delta, q_0, F)$ such that
 1. Q is a *finite* set of states
 2. Σ ("sigma") is an alphabet (**finite** set)
 3. $\delta: Q \times \Sigma \rightarrow Q$ ("delta") is the transition function
 4. $q_0 \in Q$ ("q naught") is the start state
 5. $F \subseteq Q$ is the set of accepting states
- Usually these names are used, but others are possible as long as the role is clear

DFA syntax

- It is deterministic because for every input (q,c) , the next state is a **uniquely** determined member of Q .

DFA computation

- This definition is different from but equivalent to the one in the text
- Let $M=(Q,\Sigma,\delta,q_0,F)$ be a DFA. We define the *extended transition function*

$$\delta^*:Q\times\Sigma^*\rightarrow Q$$

inductively as follows. For all $q\in Q$,

$$\delta^*(q,\varepsilon) = q.$$

If $w\in\Sigma^*$ and $c\in\Sigma$, let

$$\delta^*(q,wc) = \delta(\delta^*(q,w),c)$$

- According to this definition, $\delta^*(q,x)$ is the **state of the machine after starting in state q and reading the entire *string* x**

- See example

Measuring DFA space complexity

- Space complexity: the amount of memory used
 - But a DFA has no extra memory; it only remembers what state it is in
 - Can't look back or forward
 - So a DFA **always** uses the same amount of memory, namely the amount of memory required to remember what state it's in
 - Needs to remember current element of Q
 - Can write down that number in $\log_2 |Q|$ bits

Language recognized by DFA

- The **language recognized by** the DFA M is written $L(M)$ and defined as
$$L(M) = \{x \in \Sigma^* \mid \delta^*(q_0, x) \in F\}$$
- Think of $L()$ as an operator that turns a program into the language it specifies
 - We will use $L()$ for other types of machines and grammars too
- Example 1.7, textbook p. 37

Example

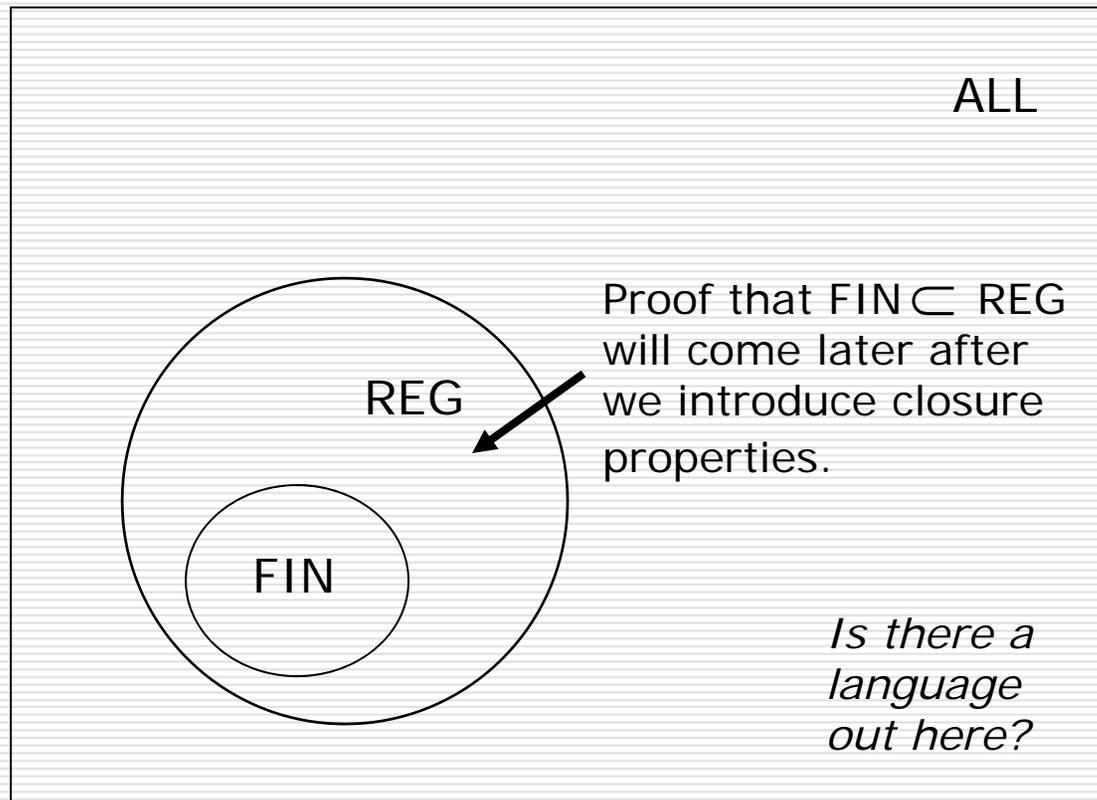
- Let $L_2 = \{x \in \{0,1\}^* \mid \text{either } x \text{ is the empty string, or the binary number } x \text{ is a multiple of } 2\}$ and build a DFA M_2 such that $L(M_2) = L_2$
 - Remember this means $L(M_2) \subseteq L_2$ **and** $L_2 \subseteq L(M_2)$
 - This is Example 1.9 from textbook, p. 38

Definition of regular languages

- A language L is **regular** if there exists a DFA M such that $L = L(M)$
- The **class of regular languages** over the alphabet Σ is called REG and defined
$$\text{REG} = \{ L \subseteq \Sigma^* \mid L \text{ is regular} \}$$
$$= \{ L(M) \mid M \text{ is a DFA over } \Sigma \}$$
- Now we know 4 classes of languages: \emptyset , FIN, REG, and ALL (see Lecture 0)

Picture so far

Each point is a language in this Venn diagram



REG = L(DFA)
 \neq FIN

Proof that $FIN \subset REG$ will come later after we introduce closure properties.

Is there a language out here?

"the class of languages generated by DFAs"

Problems

- For all $k \geq 1$, let $A_k = \{0^{kn} \mid n \geq 0\}$. Prove that $(\forall k \geq 1) \bar{A}_k \in \text{REG}$
 - Solution is a scheme, not a single DFA
- (Harder) Build a DFA for $L_3 = \{x \in \{0,1\}^* \mid \text{the binary number } x \text{ is a multiple of } 3\}$ *similar to Example 1.13*
- Build a DFA for $L_3' = \{x \in \{a,b\}^* \mid x \text{ does not contain 3 consecutive 'b's}\}$
- Build a DFA for $L_4 = \{x \in \{a,b\}^* \mid x \text{ contains an odd \# of 'a's and an even \# of 'b's}\}$ *homework from 2009*

Is REG reasonable?

- We should be able to combine computations as subroutines in simple ways
 - logical OR ($A \cup B$) $A \cup B = \{x \mid x \in A \text{ or } x \in B\}$ *example*
 - logical AND ($A \cap B$) *homework 2010*
 - concatenation ($A \cdot B$) and star (A^*)
 - hard to prove!! *motivation for NFA*
 - complement (A^c) *Problem 1.14 in textbook*
 - reversal (A^R) *homework 2010*
 - All above are easy to do as logic circuits
- *Closure under these language operations*